

**Item 1- Cover Page**



**Conrad E. Seastrunk, CFP®**

SEASTRUNK FINANCIAL MANAGEMENT, LLC  
121 S. CASHUA DR.  
FLORENCE, SC  
843-661-0220  
[www.SeastrunkFinancial.com](http://www.SeastrunkFinancial.com)

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This Brochure Supplement provides information about Conrad E. Seastrunk, CFP® that supplements the Seastrunk Financial Management, LLC Brochure. You should have received a copy of that Brochure. Please contact Mr. Seastrunk if you did not receive Seastrunk Financial Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Conrad E. Seastrunk is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Conrad E. Seastrunk, CFP®  
D.O.B. July 14, 1964

### Education:

- Francis Marion College - Florence, SC: Bachelor of Business Administration - 1988
- College For Financial Planning, Denver, CO - Certified Financial Planner Education Program - 2000

### Professional Credential:

- CFP® : College of Financial Planning- 2002 - CFP® Certificants must pass the comprehensive CFP® Certification Examination, pass CFP Board's *Fitness Standards for Candidates and Registrants*, agree to abide by CFP Board's *Code of Ethics and Professional Responsibility* which puts clients' interests first, and comply with the *Financial Planning Practice Standards* which spell out what clients should be able to reasonably expect from the financial planning engagement.

### Business Background:

- James H. Rice, CFP® & Associates 1988 – 1990: Conrad began his career working as a Series 7 Registered Representative of Source Securities, Inc and an Investment Advisor Representative of James H. Rice, CFP® & Associates.
- NYlife Advisors, Inc. 1990 – 1994: Investment Advisor Representative
- New York Life 1990 - 1994: Insurance Agent
- NYlife Securities 1990 – 1994: Registered Representative

- D.B.A. Seastrunk Financial Management Co. 1994 – 2009: Registered Investment Advisor
- Seastrunk Financial Management, LLC 2009 – Present: Investment Advisor Representative

## ITEM 3- DISCIPLINARY INFORMATION

Conrad Seastrunk does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

## ITEM 4- OTHER BUSINESS ACTIVITIES

Conrad Seastrunk is not actively engaged in any other business activities.

## ITEM 5- ADDITIONAL COMPENSATION

Conrad Seastrunk does not receive any additional economic benefit from third parties for providing advisory services.

## ITEM 6 - SUPERVISION

Conrad Seastrunk is the Chief Compliance Officer for Seastrunk Financial Management, LLC and is responsible for following all applicable laws concerning advisory activities.

## ITEM 7- REQUIREMENTS FOR STATE-REGISTERED ADVISERS

Conrad Seastrunk has not been involved in: (a) any arbitration claim alleging damages in excess of \$2,500; (b) any civil, self-regulatory organization, or administrative proceeding; or (c) a bankruptcy petition.